

STATE REVOLVING LOAN FUND (SRF) PROGRAM

RIDEM Office of Water Resources Certificate of Approval Application Review Checklist

Applicant _____	Project Name _____
Contact Person _____	Phone _____ email: _____
A/E Contact _____	Phone _____ email: _____

Stage(s) of project development for which this Certificate of Approval application seeks CWSRF funding (please specify):

Planning/30% Design* Final Design/Permitting* Construction Management Services* Construction

- A. Cover Letter and Supporting Project Details. Please include a project purpose, detailed project description, name of planning document for project, and list of project co-funding sources. Please describe, or attach supporting document, indicating the water quality benefit of the project.
- B. Procurement and Scope of Work (**All Projects**)
 - 1. Certification that the procurement meets all state and local requirements. (*If seeking SRF funding for A/E work, include a certification that the procurement meets the federal Brooks Act 40 USC 1101 et seq. and RIGL 45-55.)
 - 2. A scope of work for A/E services sufficient to result in an approved project based on official DEM policy/criteria/checklists. (If in-house staff will conduct construction management/oversight, please attach resumes.)
- C. Programmatic (**All Projects**)
 - 1. Certification of intent to comply with all applicable provisions of federal and/or state laws (sign attached).
 - 2. Certified copy of the resolution of the governing body of the local governmental unit directing the CEO to submit an application for an SRF loan.
 - 3. A summary sheet listing total project costs
 - 4. A copy of the project schedule showing the date of completion for significant milestones
 - 5. A calculation or estimate of the useful life of the project, prepared by an engineer.
- D. Environmental Review Process ("**Treatment Works**" **Projects only**) (approvals are required prior to CA)

Facility Plan Loan - certifications that: an Environmental Assessment and, if necessary, an Environmental Impact Statement on the Facility Plan will be performed; the facility planning assumptions and forecasts will be consistent with the present and forecasted elements of the local Comprehensive Plan; and the DEM-approved Facility Plan is intended to be adopted as detailed sub-elements of the Services and Utilities and Implementation elements of the local Comprehensive Plan.

Design and Construction Loans: documentation that the project is detailed in a DEM-approved Facility Plan and documentation that the project is addressed by a DEM-approved CE or a DEM-issued Finding of No Significant Impact or Record of Decision, including specified mitigation measures for the project.

- E. For Construction Projects: design approval (i.e., Order of Approval, Wetland Permit, RIPDES stormwater, CRMC, etc.)
- F. Note: when ready, SRF Contract Documents must be included in bid specifications/RFP/RFQ and reviewed in advance.

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R.I.D.E.M., Office of Water Resources
Certificate of Approval Process

Requirements for Applicants for All SRF Loans

As the Chief Executive Officer of the _____, I hereby certify this local governmental unit will comply with the applicable statutory and/or regulatory requirements of the sections of the Rhode Island General Laws of 1956, as amended, cited below as a condition of award of a loan from the Rhode Island State Revolving Loan Fund (SRF) Program jointly administered by the Rhode Island Infrastructure Bank and the Rhode Island Department of Environmental Management. This certification is executed on the _____ day of _____, 20__.

_____, CEO, of _____

1. § 2-1, Fresh Water Wetlands
2. § 23-23, Air Pollution [R.I. Clean Air Act]
3. § 36-14, Code of Ethics
4. § 37-12, Contractor's Bonds
5. § 37-13, Labor and Payment of Debts by Contractors
6. § 37-14.1, Minority Business Enterprise
7. § 37-16, Public Works Arbitration Act
8. § 38-1, Custody and Protection [of public records]
9. § 38-2, Access to Public Records
10. § 38-3, Public Records Administration
11. § 42-10.1, Public Finance Management Board (debt issuance)
12. § 42-35, Administrative Procedures
13. § 42-45, Historical Preservation Commission (planning coordination)
14. § 42-46, Open Meetings
15. § 42-82, Farmland Preservation Act (eminent domain restrictions)
16. § 42-110, Licensing of Chemical Purchasing (metal finishing pretreatment)
17. § 45-14, Sewage Charges (user charge restrictions)
18. § 45-22.2, Comprehensive Planning and Land Use Regulation Act (planning consistency)
19. § 45-24.5, Wastewater Management Districts (septage management)
20. § 46-12, Water Pollution
21. § 46-12.1, Underground Storage Tanks (fuel tanks at WWTFs)
22. § 46-13, Public Drinking Water Supply (cross-connections, break tanks at WWTFs)
23. § 46-23, Coastal Resources Management Council

STATE REVOLVING LOAN FUND (SRF) PROGRAM

R.I.D.E.M., Office of Water Resources
Certificate of Approval Process

Requirements for Applicants for SRF Loans with Federal Funds

As the Chief Executive Officer of the _____, I hereby certify this local governmental unit will comply with the applicable statutory requirements of the federal laws cited below as a condition of award of a loan from the Rhode Island State Revolving Loan Fund (SRF) Program jointly administered by the Rhode Island Infrastructure Bank and the Rhode Island Department of Environmental Management.

This certification is executed on the _____ day of _____, 20_____.

_____, CEO, of _____

1. Archeological and Historic Preservation Act of 1974, P.L. 86-523, as amended
2. Clean Air Act, 42 U.S.C. 7506(c)
3. Coastal Barrier Resources Act, 16 U.S.C. 3501 et. seq.
4. Coastal Zone Management Act Pub. L. 92-583, as amended
5. Endangered Species Act, 16 U.S.C. 1531, et. seq.
6. Federal Executive Order 11988, as amended by Executive Orders 12148 and 13690, Floodplain and Flood Risk Management
7. Federal Executive Order 11990, Protection of Wetlands
8. Farmland Protection Policy Act, 7 U.S.C. 4201, et. seq.
9. Fish and Wildlife Coordination Act, P.L. 85-624, as amended
10. National Historic Preservation Act of 1966, P.L. 89-665, as amended
11. Safe Drinking Water Act, P.L. 92-523, as amended
12. Wild and Scenic Rivers Act, P.L. 90-542, as amended
13. Demonstration Cities and Metropolitan Development Act of 1966, P.L. 89-754, as amended Executive Order 12372
14. Section 306 of the Clean Air Act and Section 508 of the Clean Water Act, Including Federal Executive Order 11738, Administration of the Clean Air Act and the Federal Water Pollution Control Act with Respect to Federal Contracts, Grants, or Loans
15. Age Discrimination Act, P.L. 94-135, as amended
16. Civil Rights Act of 1964, P.L. 88-352, as amended
17. Section 13 of P.L. 92-500; prohibition against sex discrimination under the federal Clean Water Act
18. Federal Executive Order 11246, Equal Employment Opportunity
19. Federal Executive Orders 11625, 12138, and 12432 Women's and Minority Business Enterprise
20. Section 504 of the Rehabilitation Act of 1973, P.L. 93-112, including Federal Executive Orders 11914 and 11250
21. Uniform Relocation and Real Property Acquisition Act of 1970, P.L. 91-646, as amended
22. Executive Order 12549, Debarment and Suspension
23. Section 129 of the Small Business Administration Reauthorization and Amendment Act of 1988, Pub. L. 100-590
24. Executive Order 13202, Preservation of Open Competition and Government Neutrality Towards Contractor's Labor Relations
25. Executive Order 14030, Climate-Related Financial Risk